



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
08/888,462	07/07/97	SCHARF	C 2730-01

PATENT ADMINISTRATOR
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EXAMINER

MCAVOY, E

ART UNIT

PAPER NUMBER

1764

19

DATE MAILED:

01/19/01

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Office Action Summary

Application No.

08/888,462

Applicant(s)

SCHARF ET AL.

Examiner

Ellen M McAvoy

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).

Status

- 1) ☒ Responsive to communication(s) filed on 17 November 2000.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,2 and 4-29 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,2 and 4-29 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claims _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are objected to by the Examiner.
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. § 119

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
- a) ☐ All b) ☐ Some * c) ☐ None of the CERTIFIED copies of the priority documents have been:
1. ☐ received.
2. ☐ received in Application No. (Series Code / Serial Number) _____.
3. ☐ received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. & 119(e).

Attachment(s)

- 14) ☐ Notice of References Cited (PTO-892)
- 15) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 16) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____
- 17) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 18) ☐ Notice of Informal Patent Application (PTO-152)
- 19) ☐ Other:

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Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 2, and 4-29 are still rejected under 35 U.S.C. 103(a) as being unpatentable over Tipton et al (4,594,378).

Applicants amendments and arguments filed November 17, 2000 have been fully considered but they are not persuasive. As set forth in previous office actions, Tipton et al ["Tipton"] teach polymeric compositions which exhibit improved shear stability in transmission and hydraulic fluids while maintaining high and low temperature viscosity characteristics. The polymeric compositions comprise a mixture of (A) at least one oil-soluble polymer, (B-1) at least one nitrogen-containing ester of a carboxy-containing interpolymer and/or (B-2) at least one oil-soluble acrylate polymerization product of at least one acrylate ester. The polymeric component (A) may comprise homopolymers prepared from C₃-C₂₀ monoolefins such as butene and isobutene. See col. 3, lines 4 et seq. Number average molecular weights (Mw) of such polymers range from about 500 to about 100,000, and are preferably in the range of about 750 to about 10,000. See col. 2, lines 55-65 and the claims. Applicants amendment to claim 1 limiting the polymer component to one having a Mw less than 50,000 fails to distinguish this component over Tipton where a polymer having such a Mw is still encompassed. The acrylate

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polymerization product, component (B-2), may comprise polyacrylates (when $X=H$) and polymethacrylates (when $X=CH_3$) as set forth in col. 4, lines 25-30. Components (A) and (B-2) of Tipton clearly encompass component (A) of the instant claims which may comprise mixtures of polymer component and Tipton teaches that components (A) and (B-2) combined add to 0.2% to about 30% by weight in either a transmission fluid or a hydraulic fluid. This overlaps the range of 15-40% by weight of the instant claims, which has now amended in claim 1 to an amount of from 20% to about 40%. The polymeric compositions of the prior art may also comprise component (C), at least one low temperature viscosity-reducing liquid organic diluent such as naphthenic oil, alkylated aromatic oils and synthetic carboxylic acid ester oils. See col. 18, line 13 to col. 19, line 8. The diluent component of Tipton may be present in the composition in an amount of about 1% to about 35% by weight which encompasses the claimed range of about 10% to about 30% by weight for this component. This clearly encompasses fluidizing agent (B) of the instant claims.

The base oils used in preparing the transmission fluids and the hydraulic fluids of Tipton may comprise either natural oils or synthetic oils. Mineral lubricating oils are set forth as an example of a preferred natural oil. See col. 23, lines 36 et seq. Tipton also allows for the addition of conventional lubricant additives to the composition in conventional amounts and include detergent/dispersants, extreme pressure agents, anti-wear agents and oxidation inhibitors. See col. 19, lines 24 to col. 23, top. This clearly encompasses components (C), (D) and (E) of

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the instant claims. Thus, the examiner is still of the position that the composition of the instant claims is encompassed by the prior art to Tipton.

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ellen McAvoy whose telephone number is (703) 308-2510. The examiner can normally be reached on M-F (7:30-5:00) with alt. Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Marian Knode can be reached on (703) 308-4311. The fax phone numbers for the

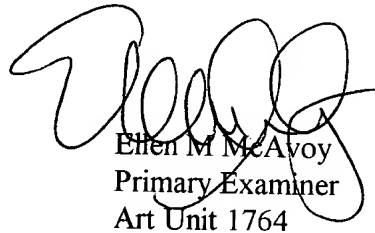
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organization where this application or proceeding is assigned are (703) 305-5408 for regular communications and (703) 305-3599 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0661.



Ellen M. McAvoy
Primary Examiner
Art Unit 1764

EMcAvoy
January 18, 2001